

Fallon-Houle, Nancy

From: Comply1940Act@yahoogroups.com on behalf of debrambrown@comcast.net
[Comply1940Act] <Comply1940Act@yahoogroups.com>
Sent: Tuesday, August 11, 2015 8:56 AM
To: Comply1940Act@yahoogroups.com
Subject: [Comply1940Act] ADV Amendment Comments Due Today [1 Attachment]

[Attachment(s) from debrambrown@comcast.net [Comply1940Act] included below]

Good morning,

Today is the deadline for submitting comments on the SEC's proposal to amend Form ADV. In our opinion this rule proposal changes the ADV Filing from a "disclosure document" to a "data gathering" document and if enacted would fundamentally change the RIA industry going forward. We submitted a comment letter that should be published on the SEC's website shortly - to view comments go to [Comments on Amendments to Form ADV and Investment Advisers Act Rules](#)



Comments on Amendments to Form ADV and Investment...
About the SEC Filings & Forms Regulatory Actions
Proposed Rules Final Rules Interim Final Rules Concept
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Our comment letter is attached.

Attachment(s) from debrambrown@comcast.net [Comply1940Act] | [View attachments on the web](#)

1 of 1 File(s)

 sec adv.pdf

Posted by: debrambrown@comcast.net

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